



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Hunter et al.

Attorney Docket No.: Immusonic-004

Serial No.:

Examiner:

Filed:

For: "The Use of β 1,3-Glucan-Containing Compositions to Potentiate Immune Responses by Upregulating the Expression of Costimulatory Molecules"

INFORMATION DISCLOSURE STATEMENT

TO: The Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Dear sir:

The following sections are being submitted for this Information Disclosure Statement:

1. Preliminary Statements;
2. Identification of Time of Filing;
3. Statements with Respect to Listing of Information;
4. Statements with Respect to Copies of Listed Information Items Accompanying this Statement;
5. Form PTO-1449; and
6. Identification of person making this Information Disclosure Statement.

1. PRELIMINARY STATEMENTS

Applicant submits herewith patents, publications, or other information of which he is aware, which he believes may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. § 1.56(b), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

2. IDENTIFICATION OF TIME OF FILING

This Information Disclosure Statement is filed before the first office action on the merits.

3. STATEMENT WITH RESPECT TO LISTING OF INFORMATION

A list of the patents and/or publications is set forth on the attached three(3) pages of Form PTO-1449.

4. STATEMENTS WITH RESPECT TO COPIES OF LISTED INFORMATION ITEMS ACCOMPANYING THIS STATEMENT

A copy of each item listed on Form PTO-1449 is included with this Information Disclosure Statement.

5. FORM PTO-1449

Form PTO-1449 (3 sheets) is attached on the following pages.

6. IDENTIFICATION OF PERSON MAKING THIS INFORMATION DISCLOSURE STATEMENT

The person making this statement is the attorney who signs below on the basis of information in the attorney's file.

Respectfully submitted,

12/19/00

William P. Ramey, III

William P. Ramey, III

Reg. No. 44,295

The Matthews Firm

1900 West Loop South, Suite 1800

Houston, Texas 77027

(713) 355-4200 telephone

(713) 355-9689 facsimile